

**Oral Exam Field:** *Commerce, Capitalism, and Empire: A Historical and Theoretical Overview of European Overseas Expansion, 1500 - 2000*

**Examiner:** Professor Ralph Austen

Exam: 8/22/03

## Reading List

### The Emergence of Capitalism in Western Europe: Grand Theory and Historical Perspectives

#### I. Classical Social Theory

Adam Smith, *An Inquiry Into the Nature and Causes of the Wealth of Nations*.

Karl Marx, *Capital*, Vol. I; particularly "Part Four: The Production of Relative Surplus-Value" and "Part Eight: So-Called Primitive Accumulation."

Karl Marx, *Grundrisse: Foundations of the Critique of Political Economy*; particularly pp. 459-514 in the Martin Nicolaus translation (Penguin Classics).

Max Weber, *The Protestant Ethic and the Spirit of Capitalism*.

Max Weber, *General Economic History*; particularly "Part Four: The Origin of Modern Capitalism."

#### II. Social & Economic History and Historical Sociology

Fernand Braudel, *Capitalism and Material Life, 1400 - 1800*.

Fernand Braudel, *Civilization and Capitalism, 15th - 18th Century*, Vol. II: The Wheels of Commerce.

Fernand Braudel, *Afterthoughts on Material Civilization and Capitalism*.

Carlo M. Cipolla, *Before the Industrial Revolution: European Society and Economy, 1000 - 1700*.

Jan de Vries, *The Economy of Europe in an Age of Crisis*.

Jan de Vries and Ad van der Woude, *The First Modern Economy: Success, Failure, and Perseverance of the Dutch Economy, 1500 - 1815*.

Peter Musgrave, *The Early Modern European Economy*.

Perry Anderson, *Passages from Antiquity to Feudalism*.

Perry Anderson, *Lineages of the Absolutist State*.

T. H. Aston and C. H. E. Philpin, eds., *The Brenner Debate: Agrarian Class Structure and Economic Development in Pre-Industrial Europe*.

Immanuel Wallerstein, *The Modern World-System*, Vol. I: Capitalist Agriculture and the Origins of the European World-Economy in the Sixteenth Century.

Immanuel Wallerstein, *Historical Capitalism; with Capitalist Civilization*.

Giovanni Arrighi, *The Long Twentieth Century: Money, Power, and the Origins of Our Times*.

Jean Baelchler, John A. Hall, and Michael Mann, eds., *Europe and the Rise of Capitalism*.

Robert Paul Thomas and Douglass C. North, *The Rise of the Western World: A New Economic History*.

Albert O. Hirschman, *The Passions and the Interests: Political Arguments for Capitalism Before Its Triumph*.

### **III. Why Europe and not Asia?**

Eric L. Jones, *The European Miracle: Environments, Economies, and Geopolitics in the History of Europe and Asia*.

Kenneth Pomeranz, *The Great Divergence: Europe, China, and the Making of the Modern World Economy*.

Jan Luiten van Zanden, "The Great Convergence from a West-European Perspective: Some Thoughts and Hypotheses."

C. A. Bayly, "South-Asia and the 'Great Divergence'."

## **The Beginnings of European Overseas Expansion**

### **I. Overseas Trade and the Emergence of Global Commercial Networks**

Fernand Braudel, *Civilization and Capitalism, 15th - 18th Century*, Vol. III: The Perspective of the World.

Philip D. Curtin, *Cross-Cultural Trade in World History*: "Part 1: Trade Diasporas and Cross-Cultural Trade"; "Part 7: The European Entry into the Trade of Maritime Asia"; and "Part 11: The Twilight of the Trade Diasporas."

Om Prakash, *European Commercial Enterprise in Pre-Colonial India*.

Niels Steensgaard, "The Indian Ocean Network and the Emerging World Economy."

K. N. Chaudhuri, *The Trading World of Asia and the English East India Company, 1660 - 1760*.

Gary Anderson, Robert McCormick, and Robert Tillotson, "The Economic Organization of the English East India Company."

W. R. Scott, *Constitution and Finance of English, Scottish and Irish Joint-Stock Companies to 1720*, 3 vols.

Ann Carlos and Stephen Nicholas, "'Giants of an Earlier Capitalism': The Chartered Trading Companies as Modern Multinationals."

### **II. Overseas Expansion and the Beginnings of Colonialism and Imperialism**

Eric R. Wolf, *Europe and the People Without History*.

David B. Abernethy, *The Dynamics of Global Dominance: European Overseas Empires, 1415 - 1980*.

(\*\*Note: The above works go well beyond the early period of European overseas expansion and, thus, will be essential to other sections of this list. I will list these here exclusively in order to avoid repetition.\*\*)

J. H. Parry, *The Establishment of the European Hegemony, 1415 - 1715*.

J. H. Parry, *The Age of Reconnaissance: Discovery, Exploration and Settlement, 1450 - 1650*.

Tzvetan Todorov, *The Conquest of America: The Question of the Other*.

Nicholas Canny, ed., *The Oxford History of the British Empire*, Vol. I: The Origins of Empire, selections.

### **III. The Atlantic Slave Trade**

Eric Williams, *Capitalism and Slavery*.

Barbara Solow and Stanley Engerman, *British Capitalism and Caribbean Slavery: The Legacy of Eric Williams*.

Robin Blackburn, *The Making of New World Slavery: From the Baroque to the Modern, 1492 - 1800*.

## **The Rise and Fall of European Imperialism**

### **I. From the Eighteenth Century to Full-Blown Imperialism (Mostly British)**

D. K. Fieldhouse, *The Colonial Empires: A Comparative Survey from the Eighteenth Century*.

P. J. Cain and A. G. Hopkins, *British Imperialism: Innovation and Expansion, 1688 - 1914*.

P. J. Cain and A. G. Hopkins, *British Imperialism: Crisis and Deconstruction, 1914 - 1990*.

Niall Ferguson, *Empire: The Rise and Demise of the British World Order and the Lessons for Global Power*.

P. J. Marshall, *The Oxford History of the British Empire*, Vol. II: The Eighteenth Century, selections.

P. J. Marshall, *Problems of Empire: Britain and India, 1757 - 1813*.

C. A. Bayly, ed., *The Raj: India and the British, 1600 - 1947*, selections.

C. A. Bayly, *Imperial Meridian: The British Empire and the World, 1780 - 1830*.

C. A. Bayly, *Empire and Information: Intelligence Gathering and Social Communication in India, 1780 - 1870*.

C. A. Bayly, "The First Age of Global Imperialism."

Ralph A. Austen, "Commercial Republic versus Colonial State: Political Economy and the Transformation of the British East India Company in the Eighteenth Century."

Ralph A. Austen, "Market Integration through Peasantisation: The Economic Transformation of Africa, the Caribbean and India under Modern Colonialism."

Ralph A. Austen, *African Economic History: Internal Development and External Dependency*.

Ronald Robinson and John Gallagher, *Africa and the Victorians: The Climax of Imperialism in the Dark Continent*.

William Roger Louis, ed., *Imperialism: The Robinson and Gallagher Controversy*.

Eric J. Hobsbawm, *The Age of Empire, 1875 - 1914*.

Roger Owen and Bob Sutcliffe, eds., *Studies in the Theory of Imperialism*.

Edward Said, *Orientalism*.

Edward Said, *Culture and Imperialism*.

## **II. Brief Excursus: The Industrial Revolution**

David S. Landes, *The Unbound Prometheus: Technological Change and Industrial Development in Western Europe from 1750 to the Present*.

Eric J. Hobsbawm, *The Age of Revolution, 1789 - 1848*: “1: The World in the 1780s”; “2: The Industrial Revolution”; and “9: Towards an Industrial World.”

Ralph A. Austen and Woodruff Smith, “Private Tooth Decay as Public Economic Virtue: The Slave-Sugar Triangle, Consumerism and European Industrialization.”

## **Postcolonialism, Globalization, and the Contemporary World Economy**

### **I. Postcolonial Developments**

D. K. Fieldhouse, *The West and the Third World: Trade, Colonialism, Dependence, and Development*; particularly “Part IV: After Colonialism: The New International System.”

Ralph A. Austen, “The Road to Postcoloniality: European Overseas Expansion, Global Capitalism and the Transformation of Africa, the Caribbean and India.”

Homi K. Bhabha, *The Location of Culture*: “4: Of Mimicry and Man: The Ambivalence of Colonial Discourse.”

Dipesh Chakrabarty, *Provincializing Europe*.

Gyan Prakash, “Subaltern Studies as Postcolonial Criticism.”

Gyan Prakash, “Writing Post-Orientalist Histories of the Third World: Perspectives from Indian Historiography.”

Rosalind O’Hanlon and David Washbrook, “After Orientalism: Culture, Criticism, and Politics in the Third World.”

Gyan Prakash, “Can the ‘Subaltern’ Ride?: A Reply to O’Hanlon and Washbrook.”

### **II. Globalization and the World Economy**

Ankie Hoogvelt, *Globalization and the Postcolonial World: The New Political Economy of Development*.

*The British Empire and Globalization: A Forum*: Niall Ferguson, “British Imperialism Revisited: The Costs and Benefits of ‘Anglobalization’ ”; P. J. Marshall, “Beneficial for Whom?”; Robert E. Lucas, Jr., “Colonialism and Growth”; Andrew Porter, “ ‘Anglobalization’: A Conceptual Step Backward”; Andrew J. Bacevich, “Does Empire Pay?”; and Niall Ferguson, “Globalization without Gunboats?”

Saskia Sassen, *Globalization and Its Discontents: Essays on the New Mobility of People and Money*.

Saskia Sassen, *Losing Control?: Sovereignty in an Age of Globalization*.

James H. Mittelman, ed., *Globalization: Critical Reflections*.

Michael Hardt and Antonio Negri, *Empire*.